

*Welcome from the  
Department of Mathematics  
and Physics at the  
University of New Haven*

# Matrix Braids

Joseph Kolibal

The University of New Haven



October, 19 2017

## Abstract

*Braiding matrices arise as a subtopic of the the Yang-Baxter equation, which has been studied extensively due to application in numerous fields of mathematics and physics. We connect these to a simplified matrix representation and focus on obtaining solutions to matrix braids by considering special matrices where solutions are more easily found. Finally, we suggest a fixed point iteration algorithm to determine the braid complement of a given matrix, if it exists.*

- ▶ We analyze the Yang-Baxter equation specialized to matrices  $A : \mathbb{C}^n \rightarrow \mathbb{C}^n$ ,  $B : \mathbb{C}^n \rightarrow \mathbb{C}^n$ , having the following form

$$ABA = BAB. \tag{1}$$

- ▶ We seek to characterize solutions of (1), including finding the necessary and if possible sufficient conditions under which distinct matrices  $A$  and  $B$  satisfy (1).
- ▶ In that regard, the approach is not too dissimilar to analyzing the structure of  $AB = BA$ , i.e., determining when two distinct matrices commute.<sup>1</sup>

In that sense it seems appropriate to coin the usage that two distinct matrices form a braid, or more simply braid if they satisfy (1).

---

<sup>1</sup>The physics preamble suggests the form  $ABA = BAB$ , but why not consider when  $AAB = BAB$ , or  $BAA = BAB$ , or ..., i.e., consider permutations of two matrices taken three at a time. As an academic pursuit, we can consider the permutation products of  $n$  matrices taken  $p$  at a time, but we can also consider matrix multiplication schemes for public key encryption, e.g., the Simple Matrix Scheme. The simpler Hill cipher was the first attempt to do cryptography with matrices.

- ▶ We analyze the Yang-Baxter equation specialized to **matrices**  $A : \mathbb{C}^n \longrightarrow \mathbb{C}^n$ ,  $B : \mathbb{C}^n \longrightarrow \mathbb{C}^n$ , having the following form

$$ABA = BAB. \tag{1}$$

- ▶ We seek to characterize solutions of (1), including finding the necessary and if possible sufficient conditions under which distinct matrices  $A$  and  $B$  satisfy (1).
- ▶ In that regard, the approach is not too dissimilar to analyzing the structure of  $AB = BA$ , i.e., determining when two distinct matrices commute.<sup>1</sup>

In that sense it seems appropriate to coin the usage that two distinct matrices form a braid, or more simply braid if they satisfy (1).

---

<sup>1</sup>The physics preamble suggests the form  $ABA = BAB$ , but why not consider when  $AAB = BAB$ , or  $BAA = BAB$ , or ..., i.e., consider permutations of two matrices taken three at a time. As an academic pursuit, we can consider the permutation products of  $n$  matrices taken  $p$  at a time, but we can also consider matrix multiplication schemes for public key encryption, e.g., the **Simple Matrix Scheme**. The simpler **Hill cipher** was the first attempt to do cryptography with matrices.

- ▶ We analyze the Yang-Baxter equation specialized to **matrices**  $A : \mathbb{C}^n \longrightarrow \mathbb{C}^n$ ,  $B : \mathbb{C}^n \longrightarrow \mathbb{C}^n$ , having the following form

$$ABA = BAB. \tag{1}$$

- ▶ We seek to characterize solutions of (1), including finding the necessary and if possible sufficient conditions under which distinct matrices  $A$  and  $B$  satisfy (1).
- ▶ In that regard, the approach is not too dissimilar to analyzing the structure of  $AB = BA$ , i.e., determining when two distinct matrices commute.<sup>1</sup>

In that sense it seems appropriate to coin the usage that two distinct matrices form a braid, or more simply braid if they satisfy (1).

---

<sup>1</sup>The physics preamble suggests the form  $ABA = BAB$ , but why not consider when  $AAB = BAB$ , or  $BAA = BAB$ , or ..., i.e., consider permutations of two matrices taken three at a time. As an academic pursuit, we can consider the permutation products of  $n$  matrices taken  $p$  at a time, but we can also consider matrix multiplication schemes for public key encryption, e.g., the **Simple Matrix Scheme**. The simpler **Hill cipher** was the first attempt to do cryptography with matrices.

- ▶ An obvious necessary condition to have braiding matrices, i.e., to satisfy (1), is that

$$\det(A) \det(B) \det(A) = \det(B) \det(A) \det(B)$$

$\implies \det(A) = \det(B)$  if  $A, B$  nonsingular .

- ▶ Since the determinant of a matrix is equal to the product of the eigenvalues of the matrix, we have

$$\prod_i \lambda_i(A) = \prod_j \lambda_j(B),$$

where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ , including multiplicities.

Not much information if  $A$  or  $B$  are singular

How was this slide written?



- ▶ An obvious necessary condition to have braiding matrices, i.e., to satisfy (1), is that

$$\det(A) \det(B) \det(A) = \det(B) \det(A) \det(B) \\ \implies \det(A) = \det(B) \text{ if } A, B \text{ nonsingular.}$$

- ▶ Since the determinant of a matrix is equal to the product of the eigenvalues of the matrix, we have

$$\prod_i \lambda_i(A) = \prod_j \lambda_j(B),$$

where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ , including multiplicities.

Not much information if  $A$  or  $B$  are singular.

How was this slide written?

- ▶ An obvious necessary condition to have braiding matrices, i.e., to satisfy (1), is that

$$\det(A) \det(B) \det(A) = \det(B) \det(A) \det(B) \\ \implies \det(A) = \det(B) \text{ if } A, B \text{ nonsingular.}$$

- ▶ Since the **determinant** of a matrix is equal to the product of the **eigenvalues** of the matrix, we have

$$\prod_i \lambda_i(A) = \prod_j \lambda_j(B),$$

where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ , including multiplicities.

Not much information if  $A$  or  $B$  are singular.

How was this slide written?

- ▶ An obvious necessary condition to have braiding matrices, i.e., to satisfy (1), is that

$$\det(A) \det(B) \det(A) = \det(B) \det(A) \det(B) \\ \implies \det(A) = \det(B) \text{ if } A, B \text{ nonsingular} .$$

- ▶ Since the **determinant** of a matrix is equal to the product of the **eigenvalues** of the matrix, we have

$$\prod_i \lambda_i(A) = \prod_j \lambda_j(B),$$

where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ , including multiplicities.

Not much information if  $A$  or  $B$  are singular.

How was this slide written?

- ▶ We can write the braiding matrices in CFE form as

$$XA = BX, \quad (2)$$

where  $X = AB$ .

- ▶ Adding  $A$  to both sides of (2), we obtain  $(X + I)A = BX + A$ .
- ▶ Solving for  $A$  on the left side of the equation yields our fixed point iteration method

$$A = (X + I)^{-1}(BX + A). \quad (3)$$

Must ensure that  $(X + I)$  remains invertible during the iteration.

- ▶ We could rewrite (3) as

$$A = (X + cI)^{-1}(BX + cA), \quad (4)$$

and for large enough values of  $c$ , the diagonal dominance of  $(X + cI)$  will guarantee invertibility.

- ▶ We can write the braiding matrices in CFE form as

$$XA = BX, \quad (2)$$

where  $X = AB$ .

- ▶ Adding  $A$  to both sides of (2), we obtain  $(X + I)A = BX + A$ .
- ▶ Solving for  $A$  on the left side of the equation yields our fixed point iteration method

$$A = (X + I)^{-1}(BX + A). \quad (3)$$

Must ensure that  $(X + I)$  remains invertible during the iteration.

- ▶ We could rewrite (3) as

$$A = (X + cI)^{-1}(BX + cA), \quad (4)$$

and for large enough values of  $c$ , the diagonal dominance of  $(X + cI)$  will guarantee invertibility.

- ▶ We can write the braiding matrices in CFE form as

$$XA = BX, \quad (2)$$

where  $X = AB$ .

- ▶ Adding  $A$  to both sides of (2), we obtain  $(X + I)A = BX + A$ .
- ▶ Solving for  $A$  on the left side of the equation yields our **fixed point iteration** method

$$A = (X + I)^{-1}(BX + A). \quad (3)$$

Must ensure that  $(X + I)$  remains invertible during the iteration.

- ▶ We could rewrite (3) as

$$A = (X + cI)^{-1}(BX + cA), \quad (4)$$

and for large enough values of  $c$ , the diagonal dominance of  $(X + cI)$  will guarantee invertibility.

- ▶ We can write the braiding matrices in CFE form as

$$XA = BX, \quad (2)$$

where  $X = AB$ .

- ▶ Adding  $A$  to both sides of (2), we obtain  $(X + I)A = BX + A$ .
- ▶ Solving for  $A$  on the left side of the equation yields our **fixed point iteration** method

$$A = (X + I)^{-1}(BX + A). \quad (3)$$

Must ensure that  $(X + I)$  remains invertible during the iteration.

- ▶ We could rewrite (3) as

$$A = (X + cI)^{-1}(BX + cA), \quad (4)$$

and for large enough values of  $c$ , the **diagonal dominance** of  $(X + cI)$  will guarantee invertibility.

- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)} r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257 \dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .



- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)}r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257\dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .

- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)}r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257\dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .

- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)}r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257\dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .

- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)}r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257\dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .

- Example of using a table and turning of the background.

$n$	$V_n/c_n$	$V_n/C_n$	$S_n$	Comments
1	2.0000	1.000000	2.0000	Since $S_1 = \frac{2\pi^{1/2}}{\Gamma(1/2)}r^{1-1}$ and $\Gamma(1/2) = \pi^{1/2}$
2	3.1416	0.785398	6.2832	
3	4.1888	0.523599	12.566	
4	4.9348	0.308425	19.739	
5	5.2638	0.164493	26.319	Maximum $V_n$ . Holds the most $n$ -cubes.
6	5.1677	0.080746	31.006	
7	4.7248	0.036912	33.074	Maximum $S_n$ at $n = 7.257 \dots$
8	4.0587	0.015854	32.497	
9	3.2985	0.0064424	29.687	

Note that  $V_n/C_n \rightarrow 0$ , i.e., spheres are vanishingly small inside of cubes in  $\mathbb{R}^n$  for large  $n$ .

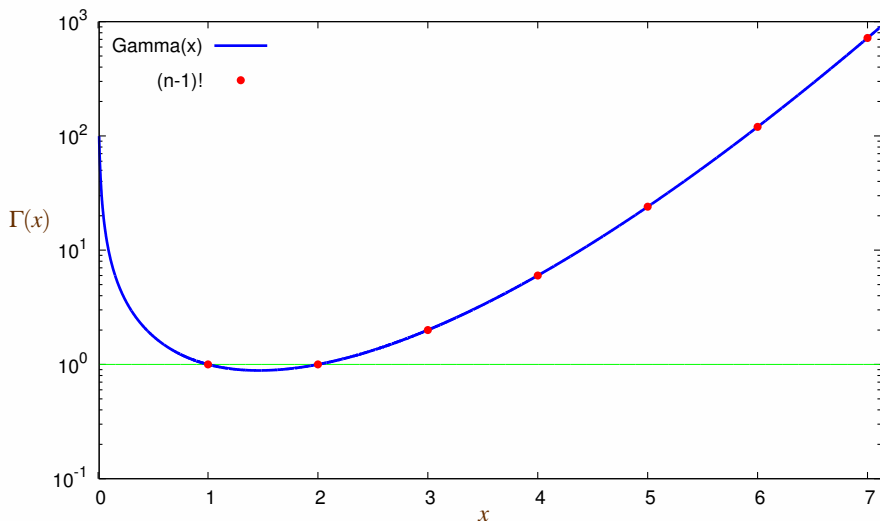


Figure: Plot of Gamma function,  $\Gamma(x)$ , showing factorials,  $\Gamma(x) = (n-1)!$  for  $x = 1, 2, \dots$

```

\begin{frame}
\Ft{Elementary considerations}
\begin{itemize}
\item
An obvious necessary condition to have braiding matrices, i.e., to
satisfy (\ref{eq:first}), is that
\begin{equation*}
\begin{aligned}
&\det(A)\det(B)\det(A) = & \det(B)\det(A)\det(B) \\
&\implies \det(A) = \det(B) \text{ if } A, B \text{ nonsingular}.
\end{aligned}
\end{equation*}
\item
Since the \url{https://wiki2.org/en/Determinant+Brights}{determinant}
of a matrix is equal to the product of the
\url{https://wiki2.org/en/Eigenvalues+and+Eigenvectors+Brights}{eigenvalues}
of the matrix, we have
\begin{equation*}
\prod_i \lambda_i(A) = \prod_j \lambda_j(B),
\end{equation*}
where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ ,
including multiplicities.
\end{itemize}
\end{frame}

```

Elementary considerations

- ▶ An obvious necessary condition to have braiding matrices, i.e., to satisfy (6), is that
$$\det(A)\det(B)\det(A) = \det(B)\det(A)\det(B)$$

$$\implies \det(A) = \det(B) \text{ if } A, B \text{ nonsingular}.$$
- ▶ Since the determinant of a matrix is equal to the product of the eigenvalues of the matrix, we have
$$\prod_i \lambda_i(A) = \prod_j \lambda_j(B),$$
where  $\lambda_i$  and  $\lambda_j$  are all the eigenvalues of  $A$  and  $B$ , including multiplicities.

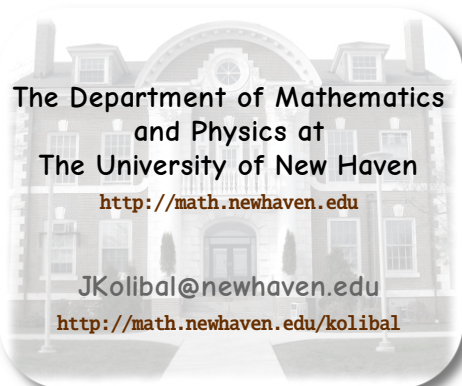
Not much information if  $A$  or  $B$  are singular.

Mathematics Seminar - Joseph Kolibal - October, 19 2017

Mathematics and Physics at The University of New Haven

We used **Beamer**, a version of **L<sup>A</sup>T<sub>E</sub>X** that is highly optimized to produce quality presentation slides. Interested? Consider **MATH 2212 Software Tools for Math**, along with some **self-help research tools**. Note that we can invoke actions, such as view the **jpg file** that is the background for this page.

Back to **Elementary Considerations**, pg.8



The Department of Mathematics  
and Physics at  
The University of New Haven

<http://math.newhaven.edu>

[JKolibal@newhaven.edu](mailto:JKolibal@newhaven.edu)

<http://math.newhaven.edu/kolibal>

